

# PEOPLE (ADULTS & HEALTH) SCRUTINY PANEL

22<sup>nd</sup> SEPTEMBER 2016

## ANNUAL REPORT OF THE LEICESTERSHIRE AND RUTLAND SAFEGUARDING ADULTS BOARD (LRSAB)

### Report of the Independent Chair of the LRLSCB

Strategic Aim:	<i>This contributes to the corporate objective of 'Creating a brighter future for all'.</i>	
Exempt Information	No.	
Cabinet Member(s) Responsible:	Councillor Richard Clifton, Portfolio Holder for Adult Social Care & Health	
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Ward Councillors	All	

### DECISION RECOMMENDATIONS

That the Panel:

1. Note the Annual Report and make any comments, proposed additions or amendments to the report that will be addressed prior to the final version of the Annual Report being published.

## 1. PURPOSE OF THE REPORT

- 1.1. To present the Annual Report of the Leicestershire and Rutland Safeguarding Adults Board (LRSAB) 2015/16 for consideration and comment by the Scrutiny Panel

## 2. BACKGROUND

- 2.1. The LRSAB is a partnership that is required by regulation. The main purpose of the SAB is to ensure, that local safeguarding arrangements and partners act to help and protect adults in its area who may be at risk of abuse or neglect.

- 2.2. The LRSAB has been aligned to the Leicestershire and Rutland Local Safeguarding Children Board (LRLSCB) for four years. They share the same Independent Chair. The intention of alignment was to ensure that there are effective and efficient safeguarding services in an integrated manner across the communities of Leicestershire and Rutland. This has supported a focus on vulnerable children, adults and families.
- 2.3. It is a statutory requirement as set out in the Care Act 2014 that the SAB publish an annual report. This is the first year this has been a legal requirement. The Care Act 2014 states that:

*'After the end of each financial year, the SAB must publish an annual report that must clearly state what both the SAB and its members have done to carry out and deliver the objectives and other content of its strategic plan.'*

*'Every SAB must send a copy of its report to:*

- the chief executive and leader of the local authority*
- the police and crime commissioner and the chief constable*
- the local healthwatch*
- the chair of the health and wellbeing board'*

- 2.4. In Leicestershire and Rutland we have always considered it good practice to produce such a report and to present it to the Local Authority's Scrutiny Panels, primarily for them to consider whether the Local Authority is effectively carrying out its functions in relation to safeguarding, which include ensuring that the Boards themselves are effective bodies.
- 2.5. The Care Act 2014 guidance also states that the annual report should 'provide information about any safeguarding adults reviews (SARs) that the SAB has arranged which are ongoing or have reported in the year' and 'state what the SAB has done to act on the findings of completed SARs or, where it has decided not to act on a finding, why not'. It should also 'set out how the SAB is monitoring progress against its policies and intentions to deliver its strategic plan'. Clearly it is important for the Scrutiny Panel to test whether the report meets these requirements when it considers the LRLSCB Annual Report.
- 2.6. The purpose of this report is to bring to the attention of the Rutland People (Adults) Scrutiny Panel the LRSABs Annual Report and Executive Summary for consultation and comment. The report will be presented for final approval to the LRSAB at their meeting on 28th October 2016. Any comments or proposed additions and amendments made by the Scrutiny Panel will be considered for inclusion in the final report to the Board.

### **3. THE ANNUAL REPORT 2015/16**

- 3.1. The Boards have continued to present separate annual reports for the LRSAB and the LRLSCB this year for clarity with regard to the separate statutory frameworks for the two Boards. The LRLSCB Annual Report has been considered separately by the Rutland People (Children) Scrutiny Panel.

- 3.2. The LRLSCB Business Plan for 2016/17 was presented to this Scrutiny Panel in February 2016. The Committee will, therefore, be aware of some of the strengths and areas for development that arose from the assessment of performance in 2015/16 since this informed the framing of that Business Plan. However, the Annual Report provides a full assessment of performance that will be a key document for consideration. It is necessarily a detailed report but we have included an Executive Summary (attached as Appendix A) to assist readers in gauging the key achievements and development needs arising from the assessment of the Boards' performance across 2015/16.
- 3.3. The key purpose of the Annual Report is to assess the impact of the work we have undertaken in 2015/16 on service quality and on safeguarding outcomes for adults in Leicestershire and Rutland. Specifically it evaluates our performance against the priorities that we set in our Business Plan 2015/16.
- 3.4. The full version of the Annual Report 2015/16 is attached as Appendix B.
- 3.5. The Annual Report includes:
- A foreword by the Independent Chair
  - A brief overview of the local area safeguarding context with some key context data;
  - An overview of the Boards' governance and accountability arrangements;
  - Analysis of performance against the five key priorities in the 2015/16 Business Plan which were to be assured that:
    - "Safeguarding is Everyone's Responsibility"
    - Adults in need of safeguarding are safe, including assurance of the quality of care for any adult supported by registered providers
    - Services for children, adults and families are effectively coordinated to ensure that children and adults are safe
    - Our Learning and Improvement Framework is raising service quality and outcomes for children, young people and adults
    - The workforce is fit for purpose.
  - An overview of the work of the Serious Case Review Sub-Group including Domestic Homicide Reviews, and an overview of work on Making Safeguarding Personal.
  - The challenges ahead including our Business Development Plan 2016/17
- 3.6. Clearly the Scrutiny Panel will primarily focus on those elements of the Annual Report that relate to performance in Rutland. The Executive Summary does highlight key strengths and development needs that relate to Rutland as well as those that apply across both local authority areas. In due course there will be a need to raise with the Executive, through Cabinet, both the successes that have been achieved in the county but also any issues that need to be addressed in future strategic planning and investment in safeguarding. Clearly, the views of the Scrutiny Panel would be included in any future reporting to Cabinet in Rutland.

#### **4. CONSULTATION**

- 4.1. In preparing the Annual Report the views and opinions of a range of stakeholders have been considered including: members of the LRSAB; members of the LRSAB Executive; the views of staff.
- 4.2. The current version of the Annual Report is being presented to a range of other bodies as part of this process of consultation and comment. In Rutland specifically it will be presented to the Health and Well-Being Board and Cabinet. It will be presented to the equivalent bodies in Leicestershire.
- 4.3. It is a requirement that the Annual Report is published once agreed by the Board in October 2016.

#### **5. ALTERNATIVE OPTIONS**

- 5.1. The Annual Report is a statutory requirement of The Care Act 2014 and therefore if it was not provided the Council would not be undertaking its statutory functions and could be open to legal challenge.

#### **6. FINANCIAL IMPLICATIONS**

- 6.1. Rutland County Council contributes £8,240 to the costs of the Leicestershire and Rutland Safeguarding Adults Board (LRSAB) (of a total budget of £95,962 in 2016/17). In addition it contributes £52,250 to the costs of the LRLSCB (of a total budget of £328,650 in 2016/17).

#### **7. LEGAL AND GOVERNANCE CONSIDERATIONS**

- 7.1. The LRSAB is a statutory partnership body. Section 43 of the Care Act 2014 requires each local authority to establish a Safeguarding Adults Board (SAB) for their area and specifies the organisations and individuals (other than the local authority) that should be represented on SABs.

As explained in 2.3 above the Annual Report must be submitted to the Chief Executive, Leader of the Council, the local police and crime commissioner, chief constable, local healthwatch and the Chair of the health and well-being board. It has always been considered best practice in Leicestershire and Rutland to include relevant Scrutiny Panels in the presentation of the Annual Report particularly since we share a scrutiny and challenge role in relation to safeguarding.

#### **8. EQUALITY IMPACT ASSESSMENT**

- 8.1. An Equality Impact Assessment (EqIA) of LSCB Annual Reports is not required. Safeguarding children, young people and adults concerns individuals who are likely to be disadvantaged in a number of ways. Information on differing needs of and impacts on different groups of individuals with regard to safeguarding is considered as part of the process to develop the Board's Business Plan. Specific impacts on or views of different groups is also considered in the work

of the LRLSCB and LRSAB Safeguarding Effectiveness Group (SEG) in assessing performance and effectiveness with regard to safeguarding.

## **9. COMMUNITY SAFETY IMPLICATIONS**

- 9.1. There is a close connection between the work of the SAB and that of community safety partnerships including the Safer Rutland Partnership. For example the SAB works closely with community safety partnerships to scrutinise and challenge performance in community safety issues that affect the safeguarding and well-being of individuals and groups e.g. domestic abuse, Prevent, drug and alcohol abuse and emotional health and well-being. The SAB also supports community safety partnerships in carrying out Domestic Homicide Reviews and acting on their recommendations.
- 9.2. The LRSAB Annual Report includes analysis of performance in a range of areas relevant to the community safety agenda and the evaluation of performance will be shared with these partnership forums to ensure that both strengths and development needs are recognised and acted on.

## **10. HEALTH AND WELLBEING IMPLICATIONS**

- 10.1. A key purpose of the LRSAB is to safeguard and protect the well-being of adults in need of care and support in Leicestershire and Rutland. The Health and Well-Being Board recognises the contribution that effective safeguarding makes to the well-being of the communities of Rutland.
- 10.2. There is a formal protocol between the LRSAB (and the Leicestershire and Rutland Local Safeguarding Children Board) and the Rutland Health and Well-Being Board that includes the requirement to report this annual report to the Health and Well-Being Board and agree any collective actions that need to be taken to improve both safeguarding effectiveness and the general well-being of the community.

## **11. ORGANISATIONAL IMPLICATIONS**

### **11.1. Environmental implications**

- 11.2. The published LRSAB and LRLSCB Annual Reports will primarily be made available on-line in electronic form, rather than paper. There are no other environmental implications.

### **11.3. Human Resource implications**

- 11.4. There are no direct human resource implications from the LRSAB Annual Report. However, a key element of the LRSABs role is to ensure that partner agencies provide sufficient human resource capacity to deliver effective safeguarding and that staff have the appropriate training and development opportunities to secure competent delivery of safeguarding responsibilities. The Annual Report includes evaluation of these matters, and identifies that further evidence is required from Rutland County Council on the embedding of safeguarding competence frameworks within the workforce.

**11.5. Procurement Implications**

- 11.6. The LRLSCB and the LRSAB promote the inclusion of safeguarding requirements in the commissioning of services for children, young people and adults with an expectation that contract performance arrangements will test providers performance in meeting expected safeguarding standards such as those tested through our Safeguarding Adults Audit Framework (SAAF) audit process.

**12. CONCLUSION AND SUMMARY OF REASONS FOR THE RECOMMENDATIONS**

- 12.1. The Executive Summary and LRLSCB Annual Report provide the Scrutiny Panel with a comprehensive report of safeguarding performance in 2015/16. The report is intended to support the Panel in its own scrutiny and challenge of performance in the County. It is also presented so that the Panel may comment and propose changes, amendments or additions that will be reported to the LRSAB for consideration when they consider the final report at their meeting on 28<sup>th</sup> October 2016.

**13. BACKGROUND PAPERS**

- 13.1. There are no additional background papers to this report

**14. APPENDICES**

- 14.1. Appendix A – Executive Summary to the LRSAB Annual Report 2015/16  
Appendix B – LRSAB Annual Report 2015/16

A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.